



PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

10/705,461

Filing Date

November 10, 2003

First Named Inventor

Shinichi Shinohara

Art Unit

1733

Examiner Name

John L. Goff II

Attorney Docket Number

SHX 318A

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter



Other Enclosure(s) (please identify below):

- * Payment of Deficiency Owed to
Correct Error in Small Entity Status
- * Itemization of Deficiency Payment
- * Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Kolisch Hartwell, P.C.

Signature

Printed name

Anton E. Skaugset

Date

August 17, 2007

Reg. No.

38,617

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

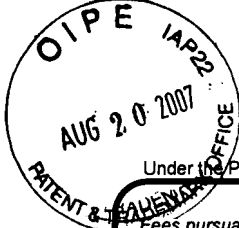
Robin L. Davin

Date

August 17, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/17 (05-07)

Approved for use through 05/31/2007. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2007

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 615.00

Complete if Known

Application Number	10/705,461
Filing Date	November 10, 2003
First Named Inventor	Shinichi Shinohara
Examiner Name	John L. Goff II
Art Unit	1733
Attorney Docket No.	SHX 318A

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 11-1540 Deposit Account Name: Kolisch Hartwell, P.C.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

	<u>Small Entity</u> Fee (\$)
Each claim over 20 (including Reissues)	50
Each independent claim over 3 (including Reissues)	200
Multiple dependent claims	360

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
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- 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
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- 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
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- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): DEFICIENCY PAYMENT TO CORRECT ENTITY STATUS

Fees Paid (\$)

615.00

SUBMITTED BY

Signature	<i>Anton E. Skaugset</i>	Registration No. 38,617 (Attorney/Agent)	Telephone (503) 224-6655
Name (Print/Type)	Anton E. Skaugset		Date August 17, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: August 17, 2007

In re Application of:

SHINICHI SHINOHARA, HIDEO KOBAYASHI,
MASAHIRO NAKAMURA and KANYA KANJI

Serial No. : 10/705,461

Group Art Unit: 1733

Filed : November 10, 2003

Examiner: John L. Goff II

For : METHOD AND APPARATUS FOR BONDING OPTICAL DISC
SUBSTRATES TOGETHER, AND METHOD FOR SUPPLYING
LIQUID MATERIAL

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

**PAYMENT OF DEFICIENCY OWED TO CORRECT ERROR
IN SMALL ENTITY STATUS PURSUANT TO 37 C.F.R. § 1.28(C)**

Applicants have discovered that the small entity status was inappropriately established in U.S. Patent Application Serial No. 10/705,461.

Accordingly, and pursuant to 37 C.F.R. § 1.28(c)(2), Applicants enclose herewith an itemization of the deficiency payment for the above-identified application.

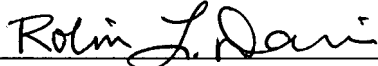
Pursuant to 37 C.F.R. § 1.28(c)(2), enclosed is our check in the amount of \$615.00, for payment of the total deficiency owed resulting from the previous erroneous payment of small entity fees. Please charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 11-1540.

Applicants submit that this correspondence complies with the requirements of 37 C.F.R. § 1.28(c)(1) and (c)(2), and respectfully request that the error in entity status be corrected.
08/21/2007 BLANCO 00000006 10705461 615.00

of the above-identified application be excused. Please contact the undersigned with any questions or comments.

CERTIFICATE OF MAILING

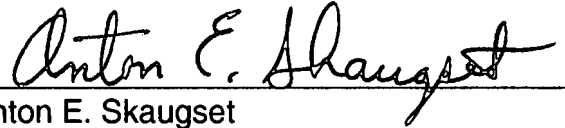
I hereby certify that this correspondence, the enclosed Itemization of Deficiency Payment, and a check in the amount of \$615.00 are being deposited with the United States Postal Service as first class mail, postage prepaid, to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 17, 2007.



Robin L. Davin

Respectfully submitted,

KOLISCH HARTWELL, P.C.



Anton E. Skaugset

Registration No. 38,617

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Agent for Applicants/Assignee

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: August 17, 2007

In re Application of:

SHINICHI SHINOHARA, HIDEO KOBAYASHI,
MASAHIRO NAKAMURA and KANYA KANJI

Serial No. : 10/705,461

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Filed : November 10, 2003

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For : METHOD AND APPARATUS FOR BONDING OPTICAL DISC
SUBSTRATES TOGETHER, AND METHOD FOR SUPPLYING
LIQUID MATERIAL

ITEMIZATION OF DEFICIENCY PAYMENT PURSUANT TO 37 CFR 1.28(c)(2)(ii)

Type of Fee	Current Large Entity Fee (\$)	Small Entity Fee Previously Paid (\$)	Date Paid	Deficiency Owed Amount
Basic filing fee	300.00	385.00	11/10/2003	615.00
Utility search fee	500.00			
Utility examination fee	200.00			
Request for Continued Examination (RCE) fee	790.00	790.00 (large entity fee paid)	6/13/2006	0
Information Disclosure Statement fee	180.00	180.00	9/14/2006	0
CUMULATIVE DEFICIENCY:				\$615.00